

INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Docket Number 00-1024		Application Number 09/927,628		
			Applicant Andrew H. Pritchard				
			Filing Date August 10, 2001		Group Art Unit 3691		
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
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Examiner Initials	Cite No.	Foreign Patent Document	Date	Country	Class	Sub-Class	Translation
		WO 01/33316 A2	5/10/2001	PCT			
NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
		Collins Dictionary of Business, 1995, retrieved from xreferplus.com, defining securitization					
		Penguin Business Dictionary, 1994, retrieved from xreferplus.com, defining options					
		John Downes & Jordan Elliot Goodman, Dictionary of Finance and Investment Terms, 297-298, 301 (5th ed. 1998).					
		USPTO Office Action for Application No. 10/042,371, November 9, 2006 (22 pgs).					
		USPTO Office Action for Application No. 10/042,371, April 4, 2007 (11 pgs).					
		USPTO Office Action for Application No. 10/042,371, July 5, 2007 (3 pgs).					
Examiner Signature					Date Considered		